S.l. 2010 No. 138

Securities Act Cap. 318A

SECURITIES (AMENDMENT) REGULATIONS, 2010

The Minister, in exercise of the powers conferred on him by section 126(7) of the *Securities Act*, makes the following Regulations:

- **1.** These Regulations may be cited as the *Securities (Amendment) Regulations*, 2010.
- **2.** Regulation 2 of the *Securities Regulations*, 2002, in these S.I.2002 Regulations referred to as the principal Regulations, is deleted and the No. 119. following is substituted:
 - First Schedule. (1) The fees payable under the Act are those set out in the *First Schedule*.
 - (2) The annual registration fees set out in the *First Schedule* are payable on or before 31st January in each year.".
- **3.** The First Schedule to the principal Regulations is deleted and the First Schedule set out in the *First Schedule* to these Regulations is substituted.

First Schedule.

4. The Second Schedule to the principal Regulations is deleted and the Second Schedule set out in the *Second Schedule* to these Regulations is substituted.

Second Schedule.

FIRST SCHEDULE

(Regulation 3)

"FIRST SCHEDULE

(Regulation 2)

FEES

1. Registration fees	Registration fees applicable to first time registration	Annual Registration fees to be paid on or before the 31st January in each year
(a) Broker (individual)	\$ 2 500.00	\$ 2 500.00
(b) Investment adviser	\$ 2 500.00	\$ 2 500.00
(c) Dealer in securities	\$ 2 500.00	\$ 2 500.00
(d) Trader in securities	\$ 2 500.00	\$ 2 500.00
(e) Securities company	\$ 10 000.00	\$ 10 000.00
(f) Underwriter of securities	\$ 10 000.00	\$ 10 000.00
(g) Self-regulatory organisations		
(i) Stock Exchange	0.1% of the value of the transactions in the previous year with a minimum of \$50 000.00	0.1% of the value of the transactions in the previous year with a minimum of \$50 000.00
(ii) Securities depository	\$ 10 000.00	\$ 10 000.00

FIRST SCHEDULE - Cont'd

FEES - Cont'd

2. Filing fo	ees	
(a)	Filing of	
	(i) a prospectus	\$ 5 000.00
	(ii) a block distribution circular	\$ 5 000.00
	(iii) a supplementary or an amended prospectus	\$ 750.00
(b)	Filing a notice required under section 69(1)(f)(i) of the Act	\$ 250.00
(c)	Filing of registration statements	
	(i) initially and annually	\$ 1 500.00
	(ii) additional-shares issue	\$ 750.00
(<i>d</i>)	Filing of a Statement in accordance with regulation 4(2) of the Companies (Take-over	
No. 68.	Bid) Regulations, 2002	\$ 5 000.00
(e)	Filing a Take-over bid Circular	\$ 5 000.00
<i>(f)</i>	Filing of documentation in support of a request for an exemption under the Act	\$ 750.00

3.	For every extract of a page of register of persons register					
	the Commission	•••	•••	•••	•••	\$ 2.00 per page
4.	Inspection of registration statements and information					
	therewith	•••	•••	•••	•••	\$ 10.00
5.	De-listing of security					\$ 750.00."



Securities Act Cap. 318A FORM NO. 1

(Regulation 9)

APPLICATION FOR REGISTRATION AS A SELF-REGULATORY ORGANISATION

(Pursuant to section 29(2) of the Securities Act.)

	Initia	1 Registration Annual Registration				
CONTACT	CONTACT INFORMATION					
1.	Name	of the Applicant as specified in the constituent document:				
2.	Conta	ct details of applicant:				
	(a)	Postal address:				
	(b)	Principal address (if different from postal address):				
	(c)	Website address:				
	(d)	Email address:				
	(e)	Telephone number:				

COMPANY DETAILS 4. (a) Country of incorporation or organisation of the applicant: (b) If incorporated in a country other than Barbados, indicate whether the Applicant is registered in Barbados: Yes		<i>(f)</i>	Fax number:
4. (a) Country of incorporation or organisation of the applicant: (b) If incorporated in a country other than Barbados, indicate whether the Applicant is registered in Barbados: Yes	3.	Name	of Principal Executive Officer responsible for this Application:
(b) If incorporated in a country other than Barbados, indicate whether the Applicant is registered in Barbados: Yes	COMPANY	DETA	ILS
registered in Barbados: Yes	4.	(a)	Country of incorporation or organisation of the applicant:
(The constituent documents of the Applicant and any amendments thereto must accompany this application on initial registration.) 5. Names and physical residential addresses of the Members of the Board of the Applicant: (Further information may be provided on a separate sheet as an attachment.) 6. Name and physical residential address of the Principal Executive Officer: (Further information may be provided on a separate sheet as an attachment.) 7. Names and physical residential addresses of the Senior Officers or Executives: (Further information may be provided on a separate sheet as an attachment.) BUSINESS ACTIVITIES		(b)	
on initial registration.) 5. Names and physical residential addresses of the Members of the Board of the Applicant: (Further information may be provided on a separate sheet as an attachment.) 6. Name and physical residential address of the Principal Executive Officer: (Further information may be provided on a separate sheet as an attachment.) 7. Names and physical residential addresses of the Senior Officers or Executives: (Further information may be provided on a separate sheet as an attachment.) BUSINESS ACTIVITIES			☐ Yes ☐ No
(Further information may be provided on a separate sheet as an attachment.) 6. Name and physical residential address of the Principal Executive Officer: (Further information may be provided on a separate sheet as an attachment.) 7. Names and physical residential addresses of the Senior Officers or Executives: (Further information may be provided on a separate sheet as an attachment.) BUSINESS ACTIVITIES			
6. Name and physical residential address of the Principal Executive Officer: (Further information may be provided on a separate sheet as an attachment.) 7. Names and physical residential addresses of the Senior Officers or Executives: (Further information may be provided on a separate sheet as an attachment.) BUSINESS ACTIVITIES	5.	Names	and physical residential addresses of the Members of the Board of the Applicant:
6. Name and physical residential address of the Principal Executive Officer: (Further information may be provided on a separate sheet as an attachment.) 7. Names and physical residential addresses of the Senior Officers or Executives: (Further information may be provided on a separate sheet as an attachment.) BUSINESS ACTIVITIES			
6. Name and physical residential address of the Principal Executive Officer: (Further information may be provided on a separate sheet as an attachment.) 7. Names and physical residential addresses of the Senior Officers or Executives: (Further information may be provided on a separate sheet as an attachment.) BUSINESS ACTIVITIES			
(Further information may be provided on a separate sheet as an attachment.) 7. Names and physical residential addresses of the Senior Officers or Executives: (Further information may be provided on a separate sheet as an attachment.) BUSINESS ACTIVITIES			(Further information may be provided on a separate sheet as an attachment.)
7. Names and physical residential addresses of the Senior Officers or Executives: (Further information may be provided on a separate sheet as an attachment.) BUSINESS ACTIVITIES	6.	Name	and physical residential address of the Principal Executive Officer:
7. Names and physical residential addresses of the Senior Officers or Executives: (Further information may be provided on a separate sheet as an attachment.) BUSINESS ACTIVITIES			
7. Names and physical residential addresses of the Senior Officers or Executives: (Further information may be provided on a separate sheet as an attachment.) BUSINESS ACTIVITIES			
(Further information may be provided on a separate sheet as an attachment.) BUSINESS ACTIVITIES			(Further information may be provided on a separate sheet as an attachment.)
BUSINESS ACTIVITIES	7.	Names	and physical residential addresses of the Senior Officers or Executives:
BUSINESS ACTIVITIES			
BUSINESS ACTIVITIES			
			(Further information may be provided on a separate sheet as an attachment.)
8. Specify the type of business that the Applicant proposes to carry on:	BUSINESS	ACTIV	TITIES
	8.	Specif	y the type of business that the Applicant proposes to carry on:

9.	Busin	Business Plan (Initial registration only)				
	busine.	be briefly the Applicant's resources, financial and otherwise to carry on the activity proposed and ss activities. Provide supporting evidence, including evidence, of the company's capitalisation (A detailed ss plan should accompany this Application.) (Initial Registration only.)				
10.	(a)	Does the Applicant have Rules for the governance of its members?				
		☐ Yes ☐ No				
	(b)	Are the Rules in compliance with the requirements of the Securities Act?				
		Yes No				
		(A copy of the Rules of the Applicant must accompany this Application (initial registration only.))				
11.	Audit	or of the Applicant:				
	(a)	Name:				
	(b)	Postal and physical address:				
12.	Propo	sed date for commencing operations. (Initial registration only.)				
		dd/mm/yyyy				
PREVIOU	US REGI	STRATION HISTORY				
		Yes' to questions 13 to 18, provide full details of that response including reasons for tion or suspension or cancellation of registration, licensing or membership.				
13.		ne Applicant or a Director or a Senior Officer of the Applicant ever been registered with ecurities Commission?				
14.		he Applicant or a Director or a Senior Officer of the Applicant ever been registered any other similar regulatory organisation in another jurisdiction?				
15.		he Applicant or a Director or a Senior Officer of the Applicant ever been refused tration by the Securities Commission in any capacity, including as a market? Yes No				

FORM NO. 1 – *Concl'd*

16.	registration as a securities exchange or self-regulatory organisation in any jurisdiction? Yes No
17.	Has the Applicant or a Director or a Senior Officer of the Applicant ever been refused membership in any securities exchange or self-regulatory organisation in any jurisdiction, including any investment dealers association, investment bankers association or similar organisation.
18.	Has the Applicant or a Director or a Senior Officer of the Applicant ever had a registration as a market actor, securities exchange or self-regulatory organisation suspended or cancelled in any jurisdiction?
ADD	ITIONAL INFORMATION
19.	Include all such other information or documentation known to the Applicant required to support the responses contained within this Application.
CERTIFICA	ATION AND SIGNATURE
20.	Certification and Signature
	This Application shall be signed by the Principal Executive Officer and two (2) Members of the Board of Directors.
	onfirm that the information contained in this application and its attachments is true and complete ot misleading.
SIGN	HERE: PRINT NAME:
SIGN	HERE: PRINT NAME:
SIGN	HERE: PRINT NAME:
Date r	eceived:



Securities Act Cap. 318A

FORM NO.2

(Regulation 13(1)(a))

APPLICATION FOR REGISTRATION AS A BROKER, DEALER, INVESTMENT ADVISER, TRADER OR UNDERWRITER

		Initial	Registration Annual Registration
CATE	GOR	Y OF	REGISTRATION
	1.		te the category of registration in respect of which the Application is made under the ities Act.
		Broke	Dealer Investment Adviser
		Trade	T Underwriter
	2.	(a)	The Applicant is
			an individual employed by a securities company
		(b)	If employed by a securities company, state the name of the securities company:
CONT	ACT	INFOI	RMATION
	1.	Name	of the Applicant:
	2.	Conta	ct details of Applicant:
		(a)	Postal Address:

		<i>(b)</i>	Principal address (if different from postal address):
		(c)	Address for service of process in Barbados:
		(d)	Website address:
		(e)	Email address:
		(f)	Telephone number:
		(g)	Fax number:
	5.	Name	e of the Senior Officer responsible for this Application:
BAN	KING	AND	FINANCIAL YEAR-END INFORMATION
	6.	(a)	State the Bank and branches where the Applicant maintains accounts:
		(b)	Identify the financial year-end date of the Applicant:
			dd/mm/yyyy
			(Question 6 does not apply to an individual employed by a securities company.)
COM	IPANY	DETA	AILS
	(Quest	ions 7 to	11 do not apply to an individual employed by a securities company.)
	7.	(a)	Country of incorporation or organisation of the Applicant:

	☐ Yes ☐ No
	constituent documents of the Applicant and any amendments thereto must accompany this Application registration.)
State	the Names and residential addresses of the Members of the Board of the Applicant:
(Furth	er information may be provided on a separate sheet as an attachment.)
	mation on Principal Executive Officer:
(a)	Name:
(b)	Residential address:
Infor	mation on the Senior Officer or Executives of the Applicant:
(a)	Name:
(b)	Residential address:
	(Further information may be provided on a separate sheet as an attachment.)
indire or a c	the name and residential address of each person who beneficially owns, directly actly, exercises control or can direct voting over, the voting securities of the Applica combination of both, carrying more than ten per cent of the votes attached to all votities of the Applicant outstanding:

12.	(a)	Is the Applicant applying for registration of any branch office? Yes No
	(b)	If the response to (a) is 'Yes', state the postal and physical address of the branch offices.
BUSINESS	S ACTI	VITIES (Questions 13 to 15 do not apply to an individual employed by a securities company.)
13.	Busir	ness Plan:
	busine	be briefly the Applicant's resources, financial and otherwise, to carry on the activity proposed and ss activities. Provide supporting evidence including evidence of the Applicant's capitalisation. (A detailed ss plan should accompany this Application.) (Initial registration only.)
14.	Inform	nation on the auditor of the Applicant:
	(a)	Name:
	(b)	Dectal and physical address.
	<i>(b)</i>	Postal and physical address:
15.		the name(s) of the individual(s) who will be responsible for the discharge of the any's obligations in relation to its operations as a
	(a)	dealer;
	(b)	underwriter;

	(c) investment adviser
	and the respective class of registration for which they are responsible.
	
	(Question 15 is not applicable for individual Applicants.)
CAPITAL	REQUIREMENTS
16.	Has the Applicant secured the minimum free capital or minimum paid up capital required?
	Yes No (Question 16 is not applicable for traders.) (Attach evidence of capital to this application.)
PREVIOUS	S REGISTRATION HISTORY
	is 'Yes' to questions 17 and 26, provide full details of that response including where applicable, reasons for the lication, suspension or cancellation of registration, licensing or membership on a separate page and attach it to the
17.	Has the Applicant or an affiliate of the Applicant:
	(a) been registered with the Securities Commission?
	☐ Yes ☐ No
	(b) applied for registration with the Securities Commission?
	Yes No
18.	Has the Applicant or an affiliate of the Applicant been registered in any other jurisdiction which requires registration or licensing to deal or trade in securities? Yes No
19.	Has the Applicant or an affiliate of the Applicant been registered or licenced in any other capacity in Barbados under legislation which requires registration or licencing to deal with the public in any capacity? (e.g. as an insurance agent, or real estate agent) Yes No
20.	Has the Applicant or an affiliate of the Applicant been refused registration or refused a licence to deal or trade?
21.	Has the Applicant or an affiliate of the Applicant ever had their licence to deal or trade suspended or cancelled?
22.	Has the Applicant or an affiliate of the Applicant been denied the benefit of any exemption from registration provided by the <i>Securities Act?</i> Yes No
23.	Has the Applicant or an affiliate of the Applicant been a member of any stock exchange, investment dealers association, investment bankers association or similar organisation in any country?

	24.	Has the Applicant or an affiliate of the Applicant been refused membership in any stock exchange, investment dealers association, investment bankers association or similar organisation in any country?
	25.	Has the Applicant or an affiliate of the Applicant been suspended as a member of any stock exchange, investment dealers association, bankers association or similar organisation in any country?
	26.	Has the Applicant or an affiliate of the Applicant operated under, or carried on business under any name other than the name shown in this application?
CON	VICT	IONS AND BANKRUPTCY DETAILS
	If the	response is 'Yes' to questions 27 to 31 provide full details of that response on a separate sheet.
	27.	Has the Applicant or an affiliate of the Applicant, ever been convicted under the laws of any country, minor traffic offences excepted?
		(In relation to question 27, you are required to disclose all information on an offence except offences expunged under the Criminal Records (Rehabilitation of Offenders) Act, Cap. 127)
	28.	Is there currently any outstanding charge or indictment against the Applicant or an affiliate of the Applicant?
	29.	Has the Applicant or an affiliate of the Applicant ever been the defendant or respondent in any proceedings in any civil court in any jurisdiction in any part of the world where fraud was alleged?
	30.	Has the Applicant or an affiliate of the Applicant at any time been declared bankrupt, or made a voluntary assignment in bankruptcy? (If the response to question 30 is 'Yes' attach a copy of the discharge.)
	31.	Has the Applicant or an affiliate of the Applicant ever been refused a fidelity or surety bond?
PRO	POSEI	D DATE FOR COMMENCING ACTIVITY
	32.	Proposed date commencing activity (Initial registration only.):
		dd/mm/yyyy
ADD:	ITION	AL INFORMATION
	33.	Provide all such other information or documentation known to the Applicant required to support this Application including copies of qualifications and other evidence of suitability for registration.

FORM NO. 2 - Concl'd

CERTIFICATION AND SIGNATURE

Date received:

34.	Certification and signature		
	This A _l	oplication shall be signed by	
	(a)	the Applicant,·	
	(b)	in the case where the Application is being made by a company the Principal Executive Officer and one member of the Board of Directors,	
	(c)	in the case where the Application is being made by a trader, the Applicant and the Broker of a securities company under whose direction and supervision it is proposed that the Applicant operate as a trader; or	
	(d)	in the case where the Applicant is employed by a company, other than a securities company, the Applicant and the Principal Executive Officer.	
	onfirm ot misle	that the information contained in this application and its attachments is true and complete eading.	
SIGN	HERE	PRINT NAME:	
SIGN	HERE	PRINT NAME:	



Securities Act Cap. 318A

FORM NO. 3

(Regulation 13(1)(b))

APPLICATION FOR REGISTRATION AS A SECURITIES COMPANY

(Pursuant to section 53(1)(a) of the Securities Act.)

		Initia	1 Registration Annual Registration
CON	ГАСТ	INFO	RMATION
	1.	Name	e of the Applicant as stated in the company's constituent document:
	2.	Conta	act details of applicant:
		(a)	Postal address:
		(b)	Principal address (if different from postal address):
		(c)	Address for service of process in Barbados:
		(d)	Website address:
		(e)	Email address:
		(f)	Telephone number:

	(g)	Fax number:
3.	Name	of Senior Officer responsible for this Application:
COMPANY	DETA	AILS
4.	(a)	Country of incorporation or organisation of the applicant:
	(b)	If incorporated in a country other than Barbados, indicate whether the Applicant is registered in Barbados:
		☐ Yes ☐ No
		(The constituent documents of the Applicant and any amendments thereto must accompany this application on initial registration.)
5.	Names	and residential address of the Members of the Board of the Applicant:
6.	Inform	ation on the Principal Executive Officer:
	(a)	Name:
	(b)	Residential address:

7.	Infor	mation on Senior Officers or Executives of the Applicant:
	(a)	Name:
	(b)	Residential address:
8.		(Further information may be provided on a separate sheet as an attachment.) the name and residential address of each person who beneficially owns, directly
	Appl	directly, or exercises control or direction voting over, the voting securities of the icant, or a combination of both, carrying more than ten per cent of the votes attached voting securities of the Applicant outstanding.
9.	(a)	Is the Applicant applying for registration of any branch office?
	(b)	If the response to (a) is 'Yes', state the postal and physical address of the branch offices.
BUSINESS	ACTI	VITIES
10.	Busin	ess Plan
	busine	be briefly the Applicant's resources, financial and otherwise, to carry on the activity proposed and ss activities. Provide supporting evidence including evidence of the Applicant's capitalisation. A detailed ss plan should accompany this Application. (Initial registration only.)
11.	Infor	mation on the auditor of the Applicant:
	(a)	Name:

<i>(b)</i>	Postal and physical address:
(a)	State the Bank and the branches where the Applicant maintains an account:
(b)	Identify the financial year-end date of the Applicant:
	dd/mm/yyyy
State	the class or classes of business to be undertaken by the company:
State	e the name(s) of the persons who will act as
(a)	brokers;
(b)	traders;
(c)	investment advisers;
(d)	underwriters;
(e)	dealers
for tl	he company and their respective classes of registration:
	the name(s) of the individual(s) who will be responsible for the discharge of the
(a)	pany's obligations in relation to its operations as a
	securities company;
(b)	

	(d) underwriter;
	(e) investment adviser
	and the respective class of registration for which they are responsible:
CAPITAL	REQUIREMENTS
16.	Has the Applicant secured the minimum paid up capital required?
Amo	unt:(Attach evidence of minimum paid up capital to this Application.)
PREVIOU	S REGISTRATION HISTORY
_	is 'Yes' to questions 17 to 27, provide full details of that response including where applicable, reasons for refusal, suspension or cancellation of registration, licensing or membership on a separate page and attach it to the
17.	Has the Applicant or an affiliate of the Applicant been registered with the Securities Commission? Yes No
18.	Has the Applicant or an affiliate of the Applicant applied for registration with the Securities Commission?
19.	Has the Applicant or an affiliate of the Applicant been registered in any other jurisdiction which requires registration or licensing to deal or trade in securities? Yes No
20.	Has the Applicant or an affiliate of the Applicant been registered or licensed in any other capacity in Barbados under legislation which requires registration or licensing to deal with the public in any capacity? (e.g. as an insurance agent, real estate etc.) Yes No
21.	Has the Applicant or an affiliate of the Applicant been refused registration or a licence to deal or trade in securities?
22.	Has the Applicant or an affiliate of the Applicant had their licence to trade in securities suspended or cancelled?
23.	Has the Applicant or an affiliate of the Applicant been denied the benefit of any exemption from registration provided by the <i>Securities Act?</i> Yes No
24.	Has the Applicant or an affiliate of the Applicant been a member of any stock exchange, investment dealers association, investment bankers association or similar organisation in any country?
25.	Has the Applicant or an affiliate of the Applicant been refused membership in any stock exchange, investment dealers association, investment bankers association or similar organisation in any country? Yes No

26.	Has the Applicant or an affiliate of the Applicant been suspended as a member of any stock exchange, investment dealers association, investment bankers association or similar organisation in any country?
27.	Has the Applicant or an affiliate of the Applicant operated under or carried on business under any name other than the name shown in this Application? Yes No
CONVICT	IONS AND BANKRUPTCY DETAILS
If the response	is 'Yes' to questions 28 to 32, provide full details of that response on a separate sheet.
28.	Has the Applicant or an affiliate of the Applicant ever been convicted under the laws of any country, minor traffic offences excepted?
	question 28, you are required to disclose all information on an offence except offences expunged under the Crimina bilitation of Offenders) Act, Cap. 127).
29.	Is there currently any outstanding charge or indictment against the Applicant or an affiliate of the Applicant?
30.	Has the Applicant or an affiliate of the Applicant ever been the defendant or respondent in any proceedings in any civil court in any jurisdiction in any part of the world wherein fraud was alleged?
31.	Has the Applicant or an affiliate of the Applicant at any time declared bankrupt, or made a voluntary assignment in bankruptcy? (If the response to question 31 is 'Yes', attach a copy of the discharge).
32.	Has the Applicant or an affiliate of the Applicant ever been refused a fidelity or surety bond?
PROPOSE	D DATE FOR COMMENCING ACTIVITY
33.	Proposed date for commencing activity. (Initial registration only):
	dd/mm/yyyy
ADDITION	NAL INFORMATION
34.	Provide all such other information or documentation known to the Applicant required to support this Application including evidence of suitability for registration.

CERTIFICATION AND SIGNATURE

35. Certification and Signature

This Application shall be signed by the Principal Executive Officer and one member of the Board of Directors.

We are aware of the requirements related to securities companies under the *Securities Act* and the Regulations made thereunder and provided approval is granted to this Application, we give a joint and several undertaking that the company will be operated in accordance with them.

SIGN NAME:	PRINT NAME:
SIGN NAME:	PRINT NAME:
Date received:	



Securities Act Cap. 318A

FORM NO. 4

(Regulation 53)

REGISTRATION STATEMENT

(Pursuant to section 58 or 59 of the Securities Act.)

Initial Regist	ration	Annual Registration Additional Issue
1.	Name	of the Registrant as specified in the constituent document:
2.	Conta	ct details of Applicant:
	(a)	Postal Address:
	(b)	Principal Address (if different from postal address):
	(c)	Address for service of process in Barbados:
	(d)	Website address:
	(e)	Email address:

<i>(f)</i>	Telephone number:
(g)	Fax number:
Regi	strant is a: Public company Government entity
Cour	ntry of incorporation or organisation of Registrant:
In re	lation to the Principal Executive Officer, provide the following particulars:
(a)	Name:
(b)	Address:
Infor	mation on the Directors (in the case of a Company):
(a)	Name:
(b)	Address:
	(Further information may be provided on a separate sheet as an attachment.)
Infor	mation of the Senior Officers or Executives:
(a)	Name:
(b)	Address:
	(Further information may be provided on a separate sheet as an attachment.)

8.	Infor	mation on the Agent for Service if applicable:
	(a)	Name:
	(b)	Address:
9.	Infor	mation on the Auditor of the Reporting Issuer:
	(a)	Name:
	(b)	Address:
10.	List t	the Exchanges on which the Reporting Issuer is listed, if applicable:
	(Furthe	er information may be provided on a separate sheet as an attachment.)
11.	State	the type of securities issued by the Registrant:
12.	Secur	rities information, as of the date of the Statement:
	(a)	number of new shares being registered:
		Share price:
	(b)	number of shares already registered:
		Share price:
	(c)	amount and value of each type of security:
13.	Propo	osed maximum offering price per unit of security:

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14.	Propose	ed maximum aggregate offering price of security:	
15.	Approx	imate date of commencement of proposed sale of securities to the public:	
16.	Any otl	her information that is not prohibited by regulation:	_
17.	State th	ne	_
	(a)	name;	
	<i>(b)</i>	address;	
	(c)	email address; and	
	(d)	telephone number	
	of cont	tact person in respect of this Registration Statement:	
			_
			_
CERTIFICA	ATION A	AND SIGNATURE	
18.	Certific	eation and Signature	
		afirm that the information contained in this application and its attachments is d complete and not misleading:	
SIGN	HERE:	PRINT NAME:	
SIGN	HERE:	PRINT NAME:	
SIGN	HERE:	PRINT NAME:	
Date:			
Note:	This R	Registration Statement shall be signed by	
		The Principal Executive Officer of the issuer and at least two Members of t Board of Directors of the issuer; or	the
	(b)	in the case of a Government entity, the underwriter or designated agent	•
Date receive	eq.		